## REFLEXIVITY AND QUASIREFLEXIVITY OF TOPOLOGICAL VECTOR SPACES

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Suppose E is a separated locally convex topological vector space, E' its dual, and E" its bidual. Recall that E is said to be reflexive if K(E) = E", where K(E) is the image of the canonical embedding of E into E", and the strong topology  $\beta(E, E')$  coincides with the original topology of E. In the sequel we will identify E with its image K(E).

A separated locally convex topological vector space E is called quasireflexive if it is a closed subspace of finite deficiency in its bidual E" and the strong topology  $\beta(E, E')$  coincides with the original topology of E.

An example of a quasireflexive topological vector space that is not isomorphic to a Banach space is the Cartesian product  $B \times E$  of a quasireflexive Banach space B and a reflexive topological vector space E that is not isomorphic to any Banach space.

This paper contains several generalizations of the results of [1]-[3] to topological vector spaces.

1. THEOREM 1. For a separated locally convex topological vector space E to be reflexive it is necessary and sufficient that it be barreled and that any bounded closed convex set  $V \subset E$  be closed in any separated locally convex topology  $\Gamma$  on E that is comparable with the original topology of E.

Necessity. If E is reflexive, then it is barreled [4, Chap. IV, §3, Theorem 2]. Suppose  $\Gamma$  is a separated locally convex topology on E that is weaker than the original. Denote by  $E_{\Gamma}$  the space E with the topology  $\Gamma$ , and by  $E'_{\Gamma}$  its dual. It is obvious that each linear functional defined on E that is continuous in the topology  $\Gamma$  will also be continuous in the original topology of E. Thus,  $E'_{\Gamma} \subset E'$ . Since E is reflexive, any bounded closed convex set  $V \subset E$  is compact in the weak topology  $\sigma(E, E')$ , hence also in the topology  $\sigma(E, E'_{\Gamma})$ . Thus the set V is closed in the topology  $\sigma(E, E'_{\Gamma})$ , hence also in the topology  $\Gamma$ .

Sufficiency. Suppose E is nonreflexive. If it is not barreled, then sufficiency is proved. Assume that E is barreled. Then there exists a bounded closed convex subset  $V \subset E$ ,  $\theta \in V$ , that is not compact in the weak topology  $\sigma(E, E')$  [4, §3, Theorem 2]. Since the closure  $\overline{V}$  of V in the topology  $\sigma(E'', E')$  is compact [4, §2, Corollary 2], it follows that  $\overline{V}$  contains an element  $x_0'' \in E$ . Take  $x_0 \in E$ ,  $x_0 \in V$ , and  $\widehat{x}'' = x_0 - x_0''$ . Let  $M_{X''}^{*} = \{x' \in E' : \langle x', \widehat{x}'' \rangle = 0\}$ . We introduce on E the weak topology  $\sigma(E, M_{X''})$  defined by the duality between E and  $M_{X''}$ . It is easy to verify that  $\sigma(E, M_{X''})$  is a separated locally convex topology on E that is weaker than the original, and the closure of V in this topology contains the element  $x_0$ .

The theorem is proved.

Note that the conditions of the theorem are independent. The existence of nonreflexive barreled spaces is obvious. An example of a nonreflexive space E in which any bounded closed convex set  $V \subseteq E$  is closed in any separated locally convex topology  $\Gamma$  on E that is comparable with the original is an infinite-dimensional reflexive Banach space with the weak topology  $\sigma(E, E')$ .

2. We now turn to the study of quasireflexivity of topological vector spaces.

Definition. Suppose E is a separated locally convex topological vector space, and M' a subspace of the dual space E' that is everywhere dense in the weak topology  $\sigma(E', E)$ . Denote by  $\beta(E, M')$  the topology

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on E with a neighborhood base of zero consisting of the polars of the sets  $V' \cap M'$ , where V' ranges over the bounded subsets of E'. We say that the subspace M' has characteristic zero if the topology  $\beta(E, M')$  is weaker than the strong topology  $\beta(E, E')$ .

THEOREM 2. If a space E is quasireflexive, then the dual space E' contains no subsapce of characteristic zero that is everywhere dense in the weak topology  $\sigma(E', E)$ .

<u>Proof.</u> Suppose M' is a subspace of E' that is everywhere dense in the weak topology  $\sigma(E', E)$ . Since E is quasireflexive, it has the form

$$M' = \{x' \in E' : \langle x', x_1'' \rangle = 0, \ldots, \langle x', x_k'' \rangle = 0\},\$$

where  $x_1'',\ldots,x_k''$  is a finite set of elements of E". Denote by  $M^{i\,0}$  the linear hull of the elements  $x_1'',\ldots,x_k''$ . Let  $x_1',\ldots,x_k'$  be a set of nonzero elements of E' for which  $|\langle x_i',x_i''\rangle| \leq 1$ ,  $i=1,\ldots,k$ . Consider the neighborhood  $W_0^{i\,0} = \{x^m \in E^m : |\langle x_i',x^m\rangle| \leq 1,\ i=1,\ldots,k\}$  and its polar in E'. The polar of  $W_0^i$  is a bounded subset of E', hence for any bounded subset  $U' \subset E'$  the union  $V' = W_0^i \cup U'$  will also be bounded. If the subsets  $U_\alpha^i$  ( $\alpha \in I$ ) form a base for the bounded sets of E', then the subsets  $V_\alpha^i = W_0^i \cup U_\alpha^i$ ,  $\alpha \in I$ , will also form a base for the bounded sets of E'. Consider the polar of the set  $V' \cap M'$  in E". Since  $M^{i0}$  is locally compact,  $V^{i\,0}$  and  $M^{i\,0}$  are closed in the weak topology  $\sigma(E'', E')$ , and  $V^{i\,0} \subset W_0^{i\,0}$ , we have

$$(V' \cap M')^0 = V'^0 + M'^0.$$

If the subsets  $V_{\alpha}' = W_0' \cup U_{\alpha}'$ ,  $\alpha \in I$ , form a base for the bounded sets, then their polars in E form a neighborhood base of zero in the strong topology  $\beta(E, E')$ , and the subsets  $(V_{\alpha}' \cap M')_E^0 = (V_{\alpha}'^0 + M'^0) \cap E$  a neighborhood base of zero of the space E in the topology  $\beta(E, M')$ . Assume that the topology  $\beta(E, M')$  is weaker than the topology  $\beta(E, E')$ . Then there exists a neighborhood  $V_0'^0$  of zero such that for any  $\alpha \in I$  there exists  $x_{\alpha} \in (V_{\alpha}'^0 + M'^0) \cap E$  and  $x_{\alpha} \in V_0'^0$ . We have

$$x_{\alpha} = y_{\alpha}^{"} + z_{\alpha}^{"}, \quad y_{\alpha}^{"} \in V_{\alpha}^{'0}, \quad z_{\alpha}^{"} \in M^{'0},$$

and since the net  $\{y_{\alpha}\}$  tends to zero, it follows that from some  $\alpha_0$  on we have  $z_{\alpha}'' \in (1/2)V_0^{'0}$  for  $\alpha > \alpha_0$ . Select from the net  $\{x_{\alpha}\}$  the subnet  $\{x_{\overline{\alpha}}\} = \{x_{\alpha} : \alpha > \alpha_0, \ \alpha \in I\}$ . Since  $V_{\alpha}^{'0}$  is balanced, it follows that if  $0 \le \lambda \le 1$ , then  $\lambda y_{\overline{\alpha}}'' \in V_{\alpha}'^0$ . For each  $z_{\overline{\alpha}}''$  we select  $\lambda_{\overline{\alpha}} \in [0,1]$  so that

$$\lambda_{\overline{\alpha}}z_{\overline{\alpha}}^{"} \in V_0^{'0}, \quad \lambda_{\overline{\alpha}}z_{\overline{\alpha}}^{"} \not\subset \frac{1}{2}V_0^{'0}.$$

Since  $V_0^{10} = W_0^{10}$  and the set  $W_0^{10} \cap M^{10}$  is bounded, the net  $\{\lambda_{\overline{\alpha}}z_{\overline{\alpha}}^n\}$  has a subnet converging in the strong topology  $\beta(E^n, E^n)$  to a nonzero element  $z_0^n$ . But the net  $\{\lambda_{\alpha}y_{\alpha}^n\}$  converges to zero, hence from the net  $\{\lambda_{\alpha}x_{\alpha}\}$  we can choose a subnet converging to the element  $z_0^n \in M^{10}$  in the strong topology  $\beta(E^n, E^n)$ , which contradicts the fact that the space  $E \subseteq E^n$  is closed. The theorem is proved.

3. We will now prove several propositions which will be needed later.

LEMMA 1. Suppose E is a barreled space and F a barreled subspace of E. If the dual space F' contains a subspace M' of characteristic zero that is everywhere dense in the weak topology  $\sigma(F', F)$ , then the dual space E' contains a subspace of characteristic zero that is everywhere dense in the weak topology  $\sigma(E', E)$ .

<u>Proof.</u> As is well known, the subspace F' can be identified with the quotient space E'/F<sup>0</sup>. Consider the preimage K<sup>-1</sup>(M') of the subspace M'  $\subset$  E'/F<sup>0</sup> under the canonical embedding E'  $\stackrel{K}{\to}$  E'/F<sup>0</sup>. It is easy to see that the subspace K<sup>-1</sup>(M') is everywhere dense in E' in the weak topology  $\sigma(E', E)$ . If the topologies  $\beta(E, E')$  and  $\beta(E, K^{-1}(M'))$  were equivalent, then the restrictions of these topologies to F would also be equivalent. Since E and F are barreled, the restriction of the topology  $\beta(E, E')$  to F coincides with the strong stopology  $\beta(F, F')$ . Since the canonical embedding K is continuous, the restriction of the topology  $\beta(E, K^{-1}(M'))$  to F is not stronger than the topology  $\beta(F, M')$ . The topology  $\beta(F, F')$  is stronger than the topology  $\beta(F, M')$ ; hence the topologies  $\beta(E, E')$  and  $\beta(E, K^{-1}(M'))$  are not equivalent. The lemma is proved.

LEMMA 2. Any complete nonreflexive barreled space E contains a bounded sequence  $\{x_n\}$  having no limit point in the weak topology  $\sigma(E, E')$ .

<u>Proof.</u> It follows from Theorem 2 of [4, Chap. IV, §3] that E contains a bounded closed subset W that is not compact in the weak topology  $\sigma(E, E')$ . It follows from [4, Chap. IV, §2, Exercise 15(b)] that W contains a sequence  $\{x_n\}$  having no limit point in the weak topology  $\sigma(E, E')$ . The lemma is proved.

<u>LEMMA 3.</u> Any complete nonquasireflexive barreled space E contains a closed separable subspace F such that dim  $F''/F = \infty$ .

<u>Proof.</u> We will construct a sequence of closed separable subspaces  $F_n \subseteq E$  such that  $E_{n+1} \supset F_n$  and dim  $F_n''/F_n \ge n$ . Then the closure  $F = \bigcup_n F_n$  of their union will be the desired subspace. We take as  $F_1$  the closed linear hull of the sequence  $\{x_i\}$  of Lemma 2. It is easy to see that dim  $F_1''/F_1 \ge 1$ .

Suppose we have constructed subspaces  $F_j$  ( $j=1,\ldots,n$ ) with the required properties. If dim  $F_n$  / $F_n=\infty$ , we may regard the construction as finished by putting  $F_j=F_n$  for j>n. Thus, suppose dim  $F_n^n$  / $F_n=k<\infty$ . We will show that the quotient space  $E/F_n$  is nonreflexive. The bidual of  $E/F_n$  is  $E^n/F_n^n$ , where  $F_n^n$  coincides with the closure of  $F_n$  in the weak topology  $\sigma(E^n,E^n)$ . If  $E/F_n$  coincided with its bidual  $E^n/F_n^n$ , then each element  $x^n+F_n^n$  of  $E^n/F_n^n$  would have the form  $x+F_n^n$ ,  $x\in E$ , hence each element  $x^n\in E^n$  could be represented in the form  $x^n=x+x^n$ ,  $x\in E$ ,  $x^n\in F_n^n$ . But dim  $F_n^n/F_n=k<\infty$ , hence dim  $E^n/E=k<\infty$ , which contradicts the fact that E is nonquasireflexive. Hence  $E/F_n$  is a nonreflexive barreled space. By Lemma 2, we can choose in it a bounded sequence  $x_i+F_n$  having no limit point in the weak topology  $\sigma(E/F_n)$ , ( $E/F_n$ ). In view of the completeness of the bidual of  $E^n/F_n^n$  in the weak topology  $\sigma(E^n/F_n^n)$ , ( $E/F_n$ ). In view of the completeness of the bidual of  $E^n/F_n^n$  in the weak topology  $\sigma(E^n/F_n^n)$ , ( $E/F_n$ ), this sequence has a limit point  $x^n+F_n^n$  in  $E^n/F_n^n$ . Choose a representative  $x^n$  of the class  $x^n+F_n^n$  and representatives  $x_i$  of the classes  $x_i+F_n$ . Let  $F_n+1=E/\{x_i\}+F_n$  be the closure of the sum of the subspaces  $F_n$  in the linear hull of the sequence  $\{x_i\}$ . We will show that

$$\dim F_{n+1}''/F_{n+1} \geqslant \dim F_n''/F_n + 1.$$

Since  $F_{n+1}^{"} \supset F_{n}^{"}$ , it suffices to verify that  $\hat{x}^{"}$  belongs to  $F_{n+1}^{"}$  and does not belong to  $F_{n+1} + F_{n}^{"}$ . If  $\hat{x}^{"}$  did not belong to  $F_{n+1}^{"}$ , then since  $F_{n+1}^{"}$  is closed in the weak topology  $\sigma(E^{"}, E')$  there would exist an element  $x_{0}' \in E'$  such that  $\langle x_{0}', \hat{x}^{"} \rangle \neq 0$ ,  $x_{0}' \in F_{n+1}^{0}$ , where  $F_{n+1}^{0}$  is the polar of  $F_{n+1}$  in the dual space E'. The element  $x_{0}'$  can be regarded as a continuous linear functional on  $E/F_{n}$ . Then  $x_{0}'(x_{1} + F_{n}) = 0$  (i = 1, ...,  $\infty$ ),  $x_{0}'(\hat{x}^{"} + F_{n}^{"}) \neq 0$ , which contradicts the fact that the element  $\hat{x}^{"} + F_{n}^{"}$  is a limit point of the sequence  $x_{1} + F_{n}^{"}$  in the weak topology  $\sigma(E^{"}/F_{n}^{"}, (E/F_{n})')$ . We will now show that  $\hat{x} \in F_{n+1} + F_{n}$ . If  $\hat{x}^{"} = x + x^{"}$ , where  $x \in F_{n+1}$ ,  $x^{"} \in F_{n}$ , then  $\hat{x}^{"} + F_{n}^{"} = (x + F_{n}^{"}) + (x^{"} + F_{n}^{"})$  and the sequence  $\{x_{1} + F_{n}^{"}\}$  has as a limit point the element  $(x + F_{n}^{"}) + (x^{"} + F_{n}^{"})$ . But  $x^{"} + F_{n}^{"} = F_{n}^{"}$ , hence the element  $x + F_{n}^{"}$  is a limit point of the sequence  $\{x_{1} + F_{n}\}$ , which contradicts the choice of  $\{x_{1} + F_{n}\}$ . The lemma is proved.

LEMMA 4. Any complete nonreflexive barreled space E contains a balanced convex neighborhood  $V_0$  of zero such that for any finite set  $x_1'$ , ...,  $x_k'$  in E'

$$\{x \in E : \langle x, x_1' \rangle = 0, \dots, \langle x, x_k' \rangle = 0\} \cap V_0 \neq \{x \in E : \langle x, x_1' \rangle = 0, \dots, \langle x, x_k' \rangle = 0\}.$$

<u>Proof.</u> The proof follows immediately from the fact that the original topology of E does not coincide with the topology  $\sigma(E, E')$ .

We introduce the following notation:  $V^{00}$  is the closure of the neighborhood  $V \subset E$  in the weak topology  $\sigma(E'', E')$ ,

$$M_{x_{1}^{"}...x_{r}^{"}} = \{x' \in E' : \langle x', x_{1}^{"} \rangle = 0, ..., \langle x', x_{r}^{"} \rangle = 0\},$$

$$H_{x_{1}^{"}...x_{r}^{"}} = \{x'' \in E'' : \langle x'', x_{1}^{"} \rangle = 0, ..., \langle x'', x_{s}^{"} \rangle = 0\},$$

and  $p_V(x)$  is the gauge function of V.

LEMMA 5. Suppose E is a complete nonquasireflexive barreled space,  $x_1'''$ , ...,  $x_S'''$  a finite set of elements in E",  $x_1'''$ , ...,  $x_r'''$  a finite set of elements in E", the subspace  $M_{x_1'''}$ ... $x_r'''$  is everywhere dense in E' in the weak topology  $\sigma(E', E)$ , V is a neighborhood of zero in E, and  $V_0$  is the neighborhood whose existence was established in Lemma 4. Then there exists an element  $x_{r+1}'' \in H_{x_1'''}$ ... $x_S''''$  such that  $x_{r+1}'' \in E + L(x_1'', \ldots, x_r'')$ ,  $x_{r+1}'' \in V_0^{00}$ ,  $M_{x_1'''}$ ... $x_{r+1}''$  is everywhere dense in E' in the weak topology  $\sigma(E', E)$ , and there exists an element  $x \in E$  for which  $x_{r+1}'' - x \in V_0^{00}$ .

<u>Proof.</u> Since E is nonquasireflexive, the subspace  $H_{X_1^m \dots X_S^m}$  contains an element  $x^n$  not belonging to  $E + L(x_1^n, \dots, x_r^n)$ . It follows from the finite-dimensionality of  $E^n/H_{X_1^m \dots X_S^m}$  and the choice of  $V_0$  that there exists an element  $x \in 2V_0$ ,  $x \in H_{X_1^m \dots X_S^m} \cap E$ . We can obviously choose  $\epsilon > 0$  so small that the element  $x_{r+1}^n = x + \epsilon x^n$  will possess the required properties. The lemma is proved.

<u>LEMMA 6.</u> Suppose E is a barreled space, H a linear subspace of E" containing E,  $x_1^n$ , ...,  $x_T^n$  a finite set of elements in E", V' a bounded closed convex balanced subset of E', and V' its polar in E". If  $\{p_{V'0}(x^n-Y'): y^n \in H, p_{V'0}(y'') \ge 1, x^n \in L(x_1^n, ..., x_T^n)\} = a, a \ne 0$ , then the closure of the set

 $(1/a)V'\cap M_{x_1''...x_r''}\cap M_{y_1''.,.y_k''}$  in the weak topology  $\sigma(E', E)$  contains  $V'\cap M_{y_1''...y_k''}$  for any finite set  $y_1'',...,y_k''$  in H.

<u>Proof.</u> Since the set  $(1/a)V' \cap M_{x_1''...x_r''} \cap M_{y_1''...y_k''}$  is convex, it suffices to show that there exists no element  $x_0' \in V' \cap M_{y_1''...y_k''}$  that can be strongly separated from the set  $(1/a)V' \cap M_{x_1''...x_r''} \cap M_{y_1''...y_k''}$  by a hyperplane that is closed in the weak topology  $\sigma(E', E)$ . Without loss of generality, we may assume that  $p_{V'}(x_0') = 1$ . Assume there exists an element  $x \in E$  for which

$$\sup\left\{\langle x, x'\rangle : x' \in \frac{1}{\alpha} V' \cap M_{x_1^* \dots x_r^*} \cap M_{y_1^* \dots y_k^*}\right\} < 1,$$

 $\langle \mathbf{x}, \, \mathbf{x}_0' \rangle = 1. \quad \text{Then the linear manifold } \mathbf{N}' = \left\{ \mathbf{x}' \in \mathbf{E}' : \langle \mathbf{x}, \, \mathbf{x}' \rangle = 1 \right\} \cap \mathbf{M}_{\mathbf{y}_1'' \dots \mathbf{y}_k''} \quad \text{strongly separates } \mathbf{x}_0' \text{ and } (1/a) \mathbf{V}' \cap \mathbf{M}_{\mathbf{x}_1'' \dots \mathbf{x}_r''} \cap \mathbf{M}_{\mathbf{y}_1'' \dots \mathbf{y}_k''} \quad \text{in the subspace } \mathbf{M}_{\mathbf{y}_1'' \dots \mathbf{y}_k''}. \quad \text{Since the set } (1/a) \mathbf{V}' \cap \mathbf{M}_{\mathbf{x}_1'' \dots \mathbf{x}_r''} \quad \text{is closed and convex in the strong topology } \beta(\mathbf{E}', \, \mathbf{E}) \text{ and does not contain } \mathbf{x}_0', \text{ then, by the Hahn—Banach theorem, } \mathbf{N}' \text{ can be extended to a hyperplane } \widetilde{\mathbf{N}}' \text{ which is closed in the strong topology } \beta(\mathbf{E}', \, \mathbf{E}) \text{ and strongly separates } \mathbf{x}_0' \text{ and } (1/a) \mathbf{V}' \cap \mathbf{M}_{\mathbf{x}_1'' \dots \mathbf{x}_r''}. \quad \text{Since } \mathbf{N}' \text{ is closed in the topology } \sigma(\mathbf{E}', \, \mathbf{E} + \mathbf{L}(\mathbf{y}_1'', \, \dots, \, \mathbf{y}_k'')) \text{ and has finite deficiency, the hyperplane } \widetilde{\mathbf{N}}' \text{ is also closed in the topology } \sigma(\mathbf{E}', \, \mathbf{E} + \mathbf{L}(\mathbf{y}_1'', \, \dots, \, \mathbf{y}_k'')), \text{ i.e. has the form } \mathbf{N}' \text{ is also closed in the topology } \sigma(\mathbf{E}', \, \mathbf{E} + \mathbf{L}(\mathbf{y}_1'', \, \dots, \, \mathbf{y}_k'')), \text{ i.e. has the form } \mathbf{N}' \text{ is also closed in the topology } \sigma(\mathbf{E}', \, \mathbf{E} + \mathbf{L}(\mathbf{y}_1'', \, \dots, \, \mathbf{y}_k'')), \text{ i.e. has the form } \mathbf{N}' \text{ is also closed in the topology } \sigma(\mathbf{E}', \, \mathbf{E} + \mathbf{L}(\mathbf{y}_1'', \, \dots, \, \mathbf{y}_k'')), \text{ i.e. has the form } \mathbf{N}' \text{ is also closed in the topology } \sigma(\mathbf{E}', \, \mathbf{E} + \mathbf{L}(\mathbf{y}_1'', \, \dots, \, \mathbf{y}_k'')), \text{ i.e. has the form } \mathbf{N}' \text{ is also closed in the topology } \sigma(\mathbf{E}', \, \mathbf{E} + \mathbf{L}(\mathbf{y}_1'', \, \dots, \, \mathbf{y}_k'')), \text{ i.e. has the form } \mathbf{N}' \text{ is also closed in the topology } \mathbf{E}' \mathbf{E}$ 

$$N' = \{x' : \langle x', y_0'' \rangle = 1\},$$

where  $y_0 = \lambda_0 x_0 + \sum_{i=1}^k \lambda_i y_i^n$ . It is easy to see that  $\langle x_0', y_0'' \rangle = 1$ ,  $\sup \{\langle x', y_0'' \rangle : x' \in (1/a) V' \cap M_{X_1'' \dots X_T''} \} < 1$ , or  $\sup \{\langle x', y_0'' \rangle : x' \in V' \cap M_{X_1'' \dots X_T''} \} < a$ . Consider the restriction of the functional  $y_0''$  to the subspace  $M_{X_1'' \dots X_T''}$ . By the Hahn—Banach theorem, this restriction can be extended to a linear functional  $\hat{y}_0''$  defined on all of E, in such a way that  $\sup \{\langle x', \hat{y}_0'' \rangle : x' \in V' \} < a$ . Since the subspace  $M_{X_1'' \dots X_T''}$  has finite deficiency, the functional  $\hat{y}_0''$  is continuous. The element  $x'' = y_0'' - \hat{y}_0''$  obviously belongs to the subspace  $L(x_1'', \dots, x_T'')$ .

$$\sup \{\langle x', y_0'' \rangle : x' \in V'\} \geqslant \langle x_0', y_0'' \rangle = 1 \text{ and } y_0'' \in H.$$

Since  $p_{V'}(y'') = \sup\{\langle y', y'' \rangle : y' \in V'\}$ , we obtain  $\inf\{p_{V'}(x'' - y'') : y'' \in H, p_{V'}(y'') \ge a, x'' \in L(x_1'', ..., x_n'')\} \le p_{V'}(\hat{y}_0'') \le a$ , which contradicts the hypothesis of the lemma. The lemma is proved.

From now on we will assume that E is a Fréchet space,  $V_1, \ldots, V_n, \ldots$  a countable base of closed convex balanced neighborhoods of zero in E such that  $V_i \supset V_{i+1}$ . It is easy to see that their closures  $V_i^{00}$  in the weak topology  $\sigma(E^n, E^n)$  form a countable neighborhood base of zero in the strong topology of  $E^n$ .

THEOREM 3. For any nonquasireflexive Fréchet space E the dual space E' contains a subspace M' of characteristic zero that is everywhere dense in the weak topology  $\sigma(E', E)$ .

<u>Proof.</u> A Fréchet space is barreled, and a closed subspace of a Fréchet space is again a Fréchet space. Hence, in view of Lemmas 1 and 3, we may assume that E is separable. By Proposition 3 of [4, Chap. IV, §2], the dual space E' is the union of bounded sets  $V_n^0$  that are metrizable and separable in the weak topology  $\sigma(E', E)$ . Let  $x^{i1}, \ldots, x^{in}$  be a countable set that is everywhere dense in E' in the weak topology  $\sigma(E', E)$ . Without loss of generality, we may assume that  $x^{i1} \in V_1^0$ . The construction of the subspace M' will be carried out inductively.

- 1. There exists an element  $x_1'' \in E''$  satisfying the following conditions:  $x_1'' \in V_0^{00}$ ;  $x_1'' \in M_{X_1''}$ ;  $x_1'' \in E$ ; there exists an element  $x_1 \in E$  such that  $x_1'' x_1 \in V_1^{00}$ . To demonstrate the existence of  $x_1''$  it suffices to apply Lemma 5. The element  $x_1''$  possesses the following properties.
  - a) There exists an element  $x_1''' \in E^0$  such that  $\langle x_1'', x_1''' \rangle \neq 0$ . This follows from the fact that  $x_1'' \not\subset E$ .
  - b) There exists a neighborhood  $W_1 \subset V_1$  of zero such that

$$a_1 = \inf \left\{ p_{W_1^{00}}(x'' - y'') : y'' \in H_{x_1'''}, \ p_{W_1^{00}}(y'') \geqslant 1, \ x'' \in L(x_1'') \right\} \neq 0.$$

Indeed, we can take as  $W_1$  any neighborhood of zero whose closure in the topology  $\sigma(E^n, E^n)$  is contained in the set  $V_1^{00} \cap \{x^n \in E^n : |\langle x^n, x_1^m \rangle| \le 1\}$ . Lemma 6 implies that the closure of  $(1/a_1)W_1^0 \cap M_{X_1^n} \cap M_{Y_1^n \dots Y_k^n}$  contains  $W_1^0 \cap M_{Y_1^n \dots Y_k^n}$  for any set  $y_1^n, \dots, y_k^n$  in  $Hx_1^m$ .

c) We introduce on the bounded set  $W_1^0$  a metric  $\rho_1$  equivalent to the weak topology  $\sigma(E', E)$ . The previous property implies the existence of an element  $x_1^{'1} \in (1/a_1)W_1^0$  for which  $\rho_1(x_1^{'1}, x^{'1}) \leq 1$ .

We will give two illustrations of the second step of the inductive construction.

2. There exists an element  $x_2^{"} \in E^{"}$  satisfying the following conditions:  $x_2^{"} \notin V_0^{00}$ ;  $x_1^{'1} \in M_{X_1^{"} X_2^{"}}$ ;  $x_2^{"} \in H_{X_1^{"}}$ ;  $\{x^{'1}, x^{'2}\} \subset M_{X_2^{"}}$ ;  $x_2^{"} \in E + L(x_1^{"})$ ; there exists an element  $x_2 \in E$  such that  $x_2^{"} - x_2 \in V_0^{00}$ . To demonstrate the existence of x2" it suffices to apply Lemma 5.

The element x<sub>2</sub>" possesses the following properties.

- a) There exists  $x_2^{'1} \in (1/a_1)W_1^0 \cap M_{X_1^n X_2^n}$  for which  $\rho_1(x^{'1}, x_2^{'1}) \leq 1/2$ . The existence of such an element follows from Lemma 6, the role of the set  $y_1^n$ , ...,  $y_k^n$  being played here by  $x_2^n$ .
- b) There exists an element  $x_2^{"} \in E^0$  for which  $\langle x_1^{"}, x_2^{"} \rangle = 0$ ,  $\langle x_2^{"}, x_2^{"} \rangle \neq 0$ . This follows from the fact that  $x_2'' \subset E + L(x_1'')$  and  $E^0$  is infinite-dimensional.
  - c) There exists a neighborhood  $W_2 \subset V_2$  of zero for which

$$a_2 = \inf \left\{ p_{W_0^{00}}(x'' - y'') : y'' \in H_{x_1'' x_2'''}, \ p_{W_0^{00}}(y'') \geqslant 1, \ x'' \in L(x_1'', x_2') \right\} \neq 0.$$

Indeed, we can take as  $W_2$  any neighborhood of zero whose closure in the topology  $\sigma(E", E')$  is contained in the set  $V_2^{00} \cap \{x'' \in E'' : |\langle x'', x_1''' \rangle| \le 1, |\langle x'', x_2''' \rangle| \le 1\}$ . Lemma 6 implies that the closure of  $(1/a_2)W_2^0$  $\bigcap M_{X_1'X_2''} \bigcap M_{y_1'' \dots y_k''} \text{ in the weak topology } \sigma(E', E) \text{ contains } W_2^0 \cap M_{y_1'' \dots y_k''} \text{ for any set } y_1'', \dots, y_k'' \text{ in }$ H "" ""

d) We introduce on the bounded set  $W_2^0$  a metric  $\rho_2$  equivalent to the weak topology  $\sigma(E', E)$ . The previous property implies the existence of an element  $x_2^{12} \in (1/a_2)W_2^0 \cap M_{X_1^{"}X_2^{"}}$  for which  $\rho_2(x^{'2}, x_2^{'2}) \leq 1/2$ .

Consider the n-th step of the inductive construction. Suppose that after the (n-1)-st step of the inductive construction we have sets  $\{x_1, \ldots, x_{n-1}\} \subseteq E$ ;  $\{x_1^n, \ldots, x_{n-1}^n\} \subseteq E^n$ ;  $\{x_1^{n-1}, x_2^{n-1}, \ldots, x_{n-1}^{n-1}\} \subseteq E^n$ ;  $\{x_1^{n-1}, x_2^{n-1}, \ldots, x_{n-1}^{n-1}, x_2^{n-1}\} \subseteq E^n$ ; neighborhoods  $W_1 \subseteq V_1, \ldots, W_{n-1} \subseteq V_{n-1}$ ; numbers  $a_1, \ldots, a_{n-1}$  unequal to zero; and metrics  $a_1, \ldots, a_{n-1}$  equivalent to the weak topology  $a_1, \ldots, a_{n-1}$  decrease  $a_1, \ldots, a_{n-1}$  and  $a_1, \ldots, a_{n-1}$  decrease  $a_1, \ldots, a_{n-1}$  dec on the bounded sets  $(1/a_1)W_1^0$ , ...,  $(1/a_{n-1})W_{n-1}^0$  possessing the following properties:

1) 
$$x_i'' \in V_0^{00}; \ x_i'' - x_i \in V_i^{00}, \ i = 1, ..., n-1;$$

$$\begin{aligned} x_i'' &\in V_0^{00}; \ x_i'' - x_i \in V_i^{00}, \ i = 1, \dots, n-1; \\ \{x_1'^{1}, x_2'^{1}, \dots, x_{n-1}'^{1}; x_2'^{2}, x_3'^{2}, \dots; x_{n-2}'^{n-2}, x_{n-1}'^{n-2}; x_{n-1}'^{n-1}\} \subset M_{x_1'' \dots x_{n-1}''}; \ \{x'^{1}, \dots, x_{n-1}'^{1}, \dots, x_{n-1}'^{n-1}\} \subset M_{x_1'' \dots x_{n-1}''}; \end{aligned}$$

2) 
$$\ldots, x^{i} \} \subset M_{x_{i}^{m}}; x_{i}^{n} \in H_{x_{i}^{m}}; x_{i}^{n} \in H_{x_{i}^{m} \ldots x_{i-1}^{m}}; x_{i}^{n} \in E + L(x_{i}^{n}, \ldots, x_{i-1}^{n}), i = 1, \ldots, n-1;$$

3) the closure of the set  $(1/a_q)W_1^0 \cap Mx_1'' \dots x_q'' \cap My_1'' \dots y_k''$  contains  $W_q^0 \cap M_{y_1 \dots y_k''}''$  for any set  $y_1'' \dots y_k''$ in  $H_{X_1, \dots, X_Q}^{"}$  (q = 1, n-1);

$$\rho_{1}(x_{1}^{'1},x^{'1}) \leqslant 1; \rho_{1}(x_{2}^{'2},x^{'1}) \leqslant \frac{1}{2}; \dots; \rho_{1}(x_{n-1}^{'1},x^{'1}) \leqslant \frac{1}{n-1},$$

$$\rho_2(x_2^{\prime 2}, x^{\prime 2}) \leqslant \frac{1}{2}; \dots; \rho_2(x_{n-1}^{\prime 2}, x^{\prime 2}) \leqslant \frac{1}{n-1},$$

$$\rho_{n-1}(x_{n-1}^{\prime n-1}, x^{\prime n-1}) \leqslant \frac{1}{n-1}.$$

Then there exists an element  $x_n^{"} \in E^{"}$  satisfying the following conditions:  $x_n^{"} \in V_0^{00}$ ;  $\{x_1^{'1}, \ldots, x_{n-1}^{'1}; x_2^{'2}, \ldots; x_n^{'n-1}\} \subset M_{x_1^{"}\ldots x_n^{"}}$ ;  $\{x^{'1}, \ldots, x^{'n}\} \subset M_{x_n^{"}}$ ;  $x_n^{"} \in H_{x_1^{"}\ldots x_{n-1}^{"}}$ ;  $x_n^{"} \in E + L(x_1^{"}, \ldots, x_{n-1}^{"})$ ; there exists an element  $x_n \in E$  such that  $x_n^{"} - x_n \in V_n^{00}$ . To demonstrate the existence of  $x_n^{"}$  it suffices to apply

The element  $x_n''$  possesses the following properties.

- a) There exists an element  $x_n' q \in (1/a_q) W_q^0 \cap M_{x_1'', \dots x_n''}$  for which  $\rho_q(x^{'q}, x_n^{'q}) \leq 1/n$  (q = 1, n-1). The existence of such elements follows from Lemma 6.
- b) There exists an element  $\mathbf{x}_n^{\text{\tiny{II}}} \in \mathbf{E}^0$  for which  $\langle \mathbf{x}_i^{\text{\tiny{I}}}, \mathbf{x}_n^{\text{\tiny{III}}} \rangle = 0, \ldots, \langle \mathbf{x}_{n-1}^{\text{\tiny{II}}}, \mathbf{x}_n^{\text{\tiny{III}}} \rangle = 0, \langle \mathbf{x}_n^{\text{\tiny{II}}}, \mathbf{x}_n^{\text{\tiny{III}}} \rangle \neq 0$ . This follows from the fact that  $\mathbf{x}_n^{\text{\tiny{II}}} \not\in \mathbf{E} + \mathbf{L}(\mathbf{x}_1^{\text{\tiny{II}}}, \ldots, \mathbf{x}_{n-1}^{\text{\tiny{III}}})$  and  $\mathbf{E}^0$  is infinite-dimensional.
  - c) There exists a neighborhood  $W_n \subset V_n$  of zero for which

$$a_n = \inf \left\{ p_{W_n^{00}}(x'' - y'') : y'' \in H_{x_1''' \dots x_n'''}, \ p_{W_n^{00}}(y'') \geqslant 1, \qquad x'' \in L(x_1'', \dots, x_n'') \right\} \neq 0.$$

Indeed, we can take as  $W_n$  any neighborhood of zero whose closure in the topology  $\sigma(E^n, E^n)$  is contained in the set  $V_n^{00} \cap \{x^n \in E^n : |\langle x^n, x_1^m \rangle| \leq 1, \ldots, |\langle x^n, x_n^m \rangle| \leq 1\}$ . Lemma 6 implies that the closure

of the set  $(1/a_n)W_n^0\cap M_{x_1^n\dots x_n^m}\cap M_{y_1^n\dots y_k^n}$  in the weak topology  $\sigma(E',E)$  contains  $W_n^0\cap M_{y_1^n\dots y_k^n}$  for any set  $y_1^n,\dots,y_k^n$  in  $H_{x_1^n\dots x_n^m}$ .

d) We introduce on the bounded set  $W_n^0$  a metric  $\rho_n$  equivalent to the weak topology  $\sigma(E', E)$ . The previous property implies the existence of an element  $x_n^{'n} \in (1/a_n) W_n^0 \cap M_{x_1'' \dots x_n''}$  for which  $\rho_n(x'^n, x_n'^n) \le 1/n$ .

Thus, it is possible to carry out the inductive step, i.e. to replace n-1 by n in the set of properties 1)-4). We will show that  $M' = \bigcap_{i=1}^{\infty} M_{X_1'' \dots X_i''}$  is a subspace of characteristic zero that is everywhere dense in the weak topology  $\sigma(E', E)$ . Property 2) implies that

$$\{x_1'^1, x_2'^1, \ldots, x_n'^1, \ldots; x_2'^2, x_3'^2, \ldots, x_n'^2, \ldots; x_n'^n, \ldots\} \subset M'.$$

The sequences  $x_n^{'n}$ ,  $x_{n+1}^{'n}$ ,  $x_{n+2}^{'n}$ ... converge to elements  $x^{'n}$  in the weak topology  $\sigma(E', E)$ , so that the closure of M' in the weak topology  $\sigma(E', E)$  contains the elements  $x^{'1}$ , ...,  $x^{'n}$ , ..., i.e. it coincides with E'. It follows from the construction of  $\{x_n\}$  that zero is not a limit point in the strong topology  $\beta(E, E')$  of the sequence  $\{x_n\}$ . But  $\{x_n\}$  converges to zero in the topology  $\beta(E, M')$ . Indeed, consider any neighborhood  $V_i$  of zero in the fundamental system  $\{V_n\}$  of neighborhoods of zero of E. Then

$$(V_i^0 \cap M')^0 \supset (V_i^0 \cap M_{x_i''})^0 \supset V_i^{00} + L(x_i'').$$

But  $x_i'' - x_i \in V_i^{00}$ , hence  $x_i \in (V_i^0 \cap M')^0 \cap E$ .

Thus, the topologies  $\beta(E, E')$  and  $\beta(E, M')$  are not equivalent. The theorem is proved.

Using Theorems 2 and 3, we can formulate the following criterion for the quasireflexivity of a Fréchet space.

THEOREM 4. For a Fréchet space E to be quasireflexive it is necessary and sufficient that the dual space E' not contain a subspace of characteristic zero that is everywhere dense in the topology  $\sigma(E', E)$ .

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